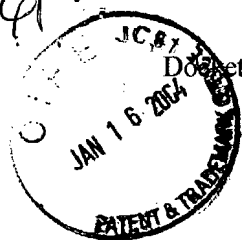


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Document No. AE97/151US

PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re application of: Forbert et al

Examiner: Nguyen

Application No.: 09/447,030

Art Unit: 1754

Filed: November 22, 1999

For: **METHOD FOR PRODUCING SUBSTANTIALLY GLOBULAR  
LYOGELS AND AEROGELS**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND RESUBMITTAL OF PRELIMINARY AMENDMENT**

Sir:

A Resubmitted Preliminary Amendment was provided to the patent office on January 12 in response to a Notice of Non-Compliant Amendment. Upon review of the file it was realized that the format of the resubmitted preliminary amendment was incorrect. Accordingly, this second resubmittal of the preliminary amendment is submitted herewith.

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**Certification under 37 CFR 1.10**

I hereby certify that this paper (along with any document or paper referred to herein) is being deposited with the United States Postal Service on this date, January 12, 2004, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL981129248US addressed to: Non-Fee Amendment, Commissioner for Patents, Washington, D. C. 20231.

Lyn M. Covenor

Name of person mailing paper

Signature

Prior to the examination of the above-identified application, Applicants respectfully request the entry of the following amendments.

**Amendments to the Claims** are shown included in the listing of claims which begins on page 3 of this response.